

07/15/99

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Clerk 07/19/99, 10/11/99
Jc 09/27/99

Introduced By: Larry Gossett

Proposed No.: 1999-0386

1 ORDINANCE NO. **13657**

2 AN ORDINANCE relating to the code of ethics; amending the
3 requirements for filing statements of financial and other
4 interests; and amending Ordinance 1308, Section 6, as
5 amended, and K.C.C. 3.04.050.

6 BE IT ORDAINED BY THE COUNCIL OF KING COUNTY:

7 SECTION 1. Ordinance 1308, Section 6, as amended, and K.C.C. 3.04.050 are each

8 hereby amended to read as follows:

9 ~~((Disclosure of income and investments.))~~ Statement of financial and other

10 interests. ~~((All elected county officials; employees appointed by the county executive; all~~
11 ~~employees appointed by the deputy county executive, or department directors, and who are~~
12 ~~subject to the approval of the county executive; all employees of the council; and such other~~
13 ~~public employees as may be determined by the board of ethics, shall file with the board of~~
14 ~~ethics within ten days of employment or appointment and on or before April 15th of each~~
15 ~~year thereafter a written statement of:~~

16 ~~_____ A. All sources of income in excess of one thousand five hundred dollars per year; and~~

17 ~~_____ B. The name of any mutual fund or other person or enterprise in which he or she has~~

18 ~~a direct financial interest in excess of one thousand five hundred dollars; provided, that~~

19 policies of insurance issued to himself or herself, or spouse, accounts in banks, savings and
20 loan associations or credit unions, are not to be considered financial interest; and

21 ~~—— C. Every office or directorship held by the employee, or his or her immediate family~~
22 ~~in any person or other governmental entity doing business in King County; and~~

23 ~~—— D. A list by legal description or popular address of all real property owned in King~~
24 ~~County by the employee, or his or her immediate family, including options to buy if the~~
25 ~~property is valued in excess of one thousand five hundred dollars; and~~

26 ~~—— E. All real property located in King County, divested by the employee or his or her~~
27 ~~immediate family during the reporting year, the value of which exceeds one thousand five~~
28 ~~hundred dollars; and~~

29 ~~—— F. With respect to attorneys or others practicing before state and local regulatory~~
30 ~~agencies during the preceding twelve month period, the name, the agency or agencies and~~
31 ~~the name of the person of which the individual is a member, partner or employee and the~~
32 ~~gross compensation in excess of one thousand five hundred dollars received by the attorney~~
33 ~~and the person respectively for such practice before such regulatory agency; and~~

34 G) A. All candidates for county elective office ((as defined in RCW 42.17.020 as
35 amended)), except for judicial candidates, and nominees for appointment to county boards
36 and commissions, within two weeks of becoming a candidate or nominee, ((as defined by
37 RCW 42.17.020,)) and all elected officials who are defined as county employees under
38 K.C.C. 3.04.017, paid in whole or in part by county funds shall file with the division of
39 records and elections a code of ethics statement of financial and other interests as defined in
40 this section ((statement, which includes subsections A. through F. above)). These
41 requirements may be satisfied by filing with the division of records and elections a copy of

42 the report required to be filed by RCW 42.17.240 (~~commonly referred to as Form F-1~~), if
43 this (~~form~~) report contains an original signature of the person filing the report. The
44 division of records and elections shall forward a copy of such statements, reports and forms
45 to the board of ethics within 10 days of their receipt.

46 ((H)) B. Within ten days of employment or appointment and on or before April 15
47 of each year thereafter, the following employees shall file a written statement of financial
48 and other interests, as defined in this section, with the board of ethics: all employees
49 appointed by the county executive; all employees appointed by the deputy county
50 executive or department directors and who are subject to the approval of the county
51 executive; all employees of the council; and such additional employees as may be
52 determined in accordance with criteria adopted by the board of ethics under subsection C
53 of this section.

54 C. The board of ethics shall adopt by rule criteria for determining which employees,
55 in addition to those designated in subsection B of this section, are required to complete and
56 file statements of financial and other interests. The criteria must consider the association
57 between the duties and responsibilities of employees and the conflict of interest provisions
58 in K.C.C. 3.04.030.

59 D. The statement of financial and other interests required to be filed under this
60 section must include the following information of which the employee has, or reasonably
61 should have, knowledge for the reporting year:

62 I. Compensation, gifts and things of value:

63 a. the name of each person engaged in a transaction, as defined by K.C.C.
64 3.04.017F, with King County in which the employee may participate or has responsibility

65 for, from whom the employee or a member of the employee's immediate family received
66 any compensation, gift or thing of value; and

67 b. the name of the individual who received the compensation, gift or thing of
68 value and the individual's relationship to the employee;

69 2. Financial interests:

70 a. the name of each person engaged in a transaction, as defined by K.C.C.

71 3.04.017F, with King County in which the employee may participate or has responsibility
72 for, in whom the employee or a member of the employee's immediate family possessed a
73 financial interest; and

74 b. the name of the individual who possessed the financial interest and the
75 individual's relationship to the employee;

76 3. Positions:

77 a. the name of each person engaged in a transaction, as defined by K.C.C.

78 3.04.017F, with King County in which the employee may participate or has responsibility
79 for, with whom the employee or a member of the employee's immediate family held a
80 position;

81 b. the name of the individual who held the position and the individual's
82 relationship to the employee; and

83 c. the title of the position; and

84 4. Real property:

85 a. real property, listed by street address, assessor parcel number or legal

86 description that was either involved in or the subject of an action by King County, in which

87 the employee or a member of the employee's immediate family possessed a financial
88 interest;

89 b. the name of the individual who possessed the financial interest and the
90 individual's relationship to the employee; and

91 c. the name of the King County department involved in the transaction.

92 Property for which the only county action was valuation for tax purposes does
93 not have to be reported except by those employees of the department of assessments and
94 the board of appeals who are required to file a report. The use the individual made of the
95 real property, such as recreation, personal residence or income, does not have to be
96 reported.

97 E. For purposes of the statements of financial and other interests required to be
98 filed annually, the "reporting year" means the preceding calendar year. For purposes of the
99 statements of financial and other interests to be filed within ten days of employment or
100 appointment, the "reporting year" means the preceding twelve calendar months.

101 F. An individual filing a statement of financial affairs under subsection A of this
102 section shall swear that the statement is a complete copy of the statement filed under state
103 law and that the information contained in the statement is true and accurate. A county
104 employee filing a statement of financial and other interests under subsection B of this
105 section shall swear that the information in the statement is true and accurate.

106 G. The financing of ((county)) election campaigns shall continue to be governed by
107 ((the provisions of RCW 42.17, and the King County Charter and King County Code))
108 other applicable local, state, and federal laws, and not by the provisions of this chapter.

109 H. Filing of the written statement of financial and other interests, as defined in this
110 section, does not relieve the employee of the duty to notify his or her supervisor of a
111 potential conflict of interest as required by K.C.C. 3.04.037.

112 I. The board may adopt rules and regulations by which affected employees may
113 request suspension or modification of the requirements to disclose financial and other
114 interests set forth in this section if the literal application of the requirements would cause a
115 manifestly unreasonable hardship and the suspension or modification would not frustrate
116 the purposes of this chapter.

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J. The board of ethics may adopt necessary and appropriate rules, regulations and

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forms related to completing, filing, maintaining and disclosing statements of financial and

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other interests under this section. The board, if adopting the rules, regulations and forms,

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shall adopt them as provided in K.C.C. chapter 2.98.

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INTRODUCED AND READ for the first time this 16th day of August, 1999.

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PASSED by a vote of 11 to 0 this 11th day of October, 1999.

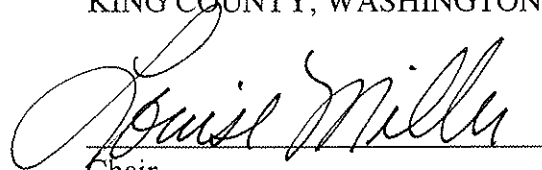
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KING COUNTY COUNCIL

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KING COUNTY, WASHINGTON

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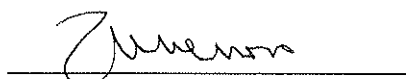

Chair

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ATTEST:

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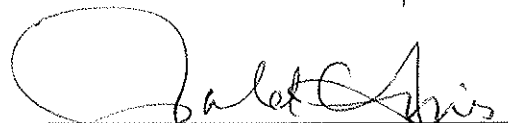

Clerk of the Council

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APPROVED this 11 day of October, 1999

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King County Executive

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Attachments: None

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